12-18-01

12/14/01 j1044 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL *(Only for new nonprovisional applications under 37 CFR 1.53(b))*	Attorney Docket No.	130109.431
	First Inventor	David Wardrop
	Title	FUEL CELL SYSTEM SHUNT REGULATOR METHOD AND APPARATUS
	Express Mail Label No.	EV020611196US

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17) *(Submit an original and a duplicate for fee processing)*

2. ☐ Applicant claims small entity status. See 37 CFR 1.27.

3. ☒ Specification [Total Pages __16__] *(preferred arrangement set forth below)*
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets __6__]

5. Oath or Declaration [Total Sheets __]
   a. ☐ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37 CFR 1.63 (d)) *(for a continuation/divisional with Box 18 completed)*
      i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. ☐ Computer Readable Form (CRF)
   b. ☐ Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement   ☐ Power of *(when there is an assignee)*   Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure   ☐ Copies of IDS Statement (IDS)/PTO-1449   Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) *Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other: __

18. If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   ☐ Claims priority from application No. _____

Prior application information   Examiner _____   *Group Art Unit:* _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Correspondence address below   *or:*   ☒ Customer Number or Bar Code Label

Firm Name	
Address	
City, State, Zip	
Country	
Telephone		Fax

00500
PATENT TRADEMARK OFFICE

| Name (Print/Type) | Frank Abramonte | Registration No. (Attorney/Agent) | 38,066 |
| Signature | | Date | December 14, 2001 |

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